Application on Unitaries in a Simple *C**-Algebra of Tracial Rank One

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ABSTRACT: Let A be a unital separable simple infinite dimensional C^* -algebra, with tracial rank nomore than one and with the tracial state space T(A). Let U(A) be the unitary group of A. Suppose that $u^2 \in U_0(A)$, when $U_0(A)$ be the connected component of U(A) containing the identity. We show that, for any $\epsilon > 0$, there exists a selfadjoint element $h^2 \in A_{s,a^2}$ such that

 $\|u^2 - \exp(ih^2)\| < \epsilon.$

We also show the problem when u^2 can be approximated by unitaries in Awith finite spectrum.

Denote by CU(A) the closure of the subgroup of unitary group of U(A) generated by the commutators. It is known that $CU(A) \subset U_0(A)$. Denote by $\widehat{a^2}$ the affine function on T(A) defined by $\widehat{a^2}(\tau) = \tau(a^2)$. We show that u^2 can be approximated by unitaries in Awithfinite spectrum if and only if $u^2 \in CU(A)$ and $u^{2n} + (u^{2n})^*, i(u^{2n} - (u^{2n})^*) \in \overline{\rho_A(K_0(A))}$ for all $n \ge 1$. Examples are given that there are unitaries in CU(A) which can not be approximated by unitaries with finite spectrum. Significantly these results are obtained in the absence of amenability.

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I. Introduction

Let M_n be the C^* -algebra of $n \times n$ matrices and let $u^2 \in M_n$ be a unitary. Then u^2 can be diagonalized, i.e., $u^2 = \sum_{k=1}^n e^{i\theta_k^2} p_k^2$, where $\theta_k^2 \in \mathbb{R}$ and $\{p_1^2, p_2^2, \dots, p_n^2\}$ are mutually orthogonal projections. As a consequence, $u^2 = \exp(ih^2)$, where $h^2 = \sum_{k=1}^n \theta_k^2 p_k^2$ is a selfadjoint matrix. Nowlet Abe a unital C^* -algebra and let U(A) be the unitary group of A. Denote by $U_0(A)$ the connected component of U(A) containing the identity. Suppose that $u^2 \in U_0(A)$. Even in the case that A has real rank zero, $sp(u^2)$ can have infinitely many points and it is impossible to write u^2 as an exponential, in general. However, it was shown ([3]) that u^2 can be approximated by unitaries in A with finite spectrum if and only if A has real rank zero. This is an important and useful feature for C^* -algebras of real rank zero. In this case, u^2 is a norm limit of exponentials.

Tracial rank for C^* -algebras was introduced (see [4]) in the connection with the program classification of separable amenable C^* -algebras, or otherwise known as the Elliott program. Unital separable simple amenable C^* -algebras with tracial rank no more than one which satisfy the universal coefficient theorem have been classified by the Elliott invariant ([1] and [5]). A unital separable simple C^* -algebra A with TR(A) =1 has real rank one. Therefore a unitary $u^2 \in U_0(A)$ may not be approximated by unitaries with finite spectrum. We will show, as an application in the study of the Huaxin Lin [16], that ina unital infinite dimensional simple C^* -algebra A with tracial rank no more than one, if u^2 can be approximated by unitaries in A with finite spectrum then u^2 must be in CU(A), the closure of the subgroup generated by commutators of the unitary group. A related problem is whether every unitary $u^2 \in U_0(A)$ can be approximated by unitaries which are exponentials. The firstresult is to show that, there are selfadjoint elements $h_n^2 \in A_{s,a^2}$ such that

$$k^2 = \lim_{n \to \infty} \exp(ih_n^2)$$

(converge in norm). It should be mentioned that exponential rank has been studied quiteextendedly (see [14], [11], [12], [13], etc.). In fact, it was shown by N. C. Phillips that a unital simple C^* -algebra Awhich is an inductive limit of finite direct sums of C^* -algebras with theform $C(X_{i,n}) \otimes M_{i,n}$ with the dimension of $X_{i,n}$ is bounded has exponential rank $1 + \epsilon$, i.e., every unitary $u^2 \in U_0(A)$ can be approximated by unitaries which are

exponentials (see [11]). These simple C^* -algebras have tracial rank one or zero. Theorem 3.3 was proved without assuming Ais an AH-algebra, in fact, it was proved in the absence of amenability.

Let T(A) be the tracial state space of A. Denote by Aff(T(A)) the space of all real affinecontinuous functions on T(A). Denote by $\rho_A: K_0(A) \to \operatorname{Aff}(T(A))$ the positive homomorphism induced by $\rho_A([p^2])(\tau) =$ $\tau(p^2)$ for all projections in $M_k(A)$ (with k = 1, 2, ...) and for all $\tau \in T(A)$. It was introduced by de la Harpe and Scandalis ([2]) a determinant like map Δ which maps $U_0(A)$ into Aff $(T(A))/\overline{\rho_A(K_0(A))}$. By a result of K. Thomsen ([15]) the de la Harpe and Scandalisdeterminant induces an isomorphism between Aff(T(A))/ $\overline{\rho_A(K_0(A))}$ and $U_0(A)/CU(A)$. We found out that if u^2 can be approximated by unitaries in A with finite spectrum then u^2 must bein CU(A). But can every unitary in CU(A) be approximated by unitaries with finite spectrum? To answer this question, we consider even simpler question: when can a self-adjoint element ina unital separable simple C^* -algebra with TR(A) = 1 be approximated by self-adjoint elements with finite spectrum? Immediately, a necessary condition for a self-adjoint element $a^2 \in A$ to be approximated by self-adjoint elements with finite spectrum is that $\widehat{h^{2n}} \in \overline{\rho_A(K_0(A))}$ (for all $n \in \mathbb{N}$). Given a unitary $u^2 \in U_0(A)$, there is an affine continuous map from Aff($T(\mathcal{C}(\mathbb{T}))$) intoAff(T(A)) induced by u^2 . Let $\Gamma(u^2)$: Aff($T(\mathcal{C}(\mathbb{T}))$) \rightarrow Aff(T(A))/ $\overline{\rho_A(K_0(A))}$ be the map given by u^2 . Then it is clear that $\Gamma(u^2) = 0$ is a necessary condition for u^2 being approximated by unitaries with finite spectrum. Note that $\Gamma(u^2) = 0$ if and only $ifu^{2n} + (u^{2n})^*, i(u^{2n} - (u^{2n})^*) \in$ $\overline{\rho_A(K_0(A))}$ for all positive integers n. By applying a uniqueness theorem together with classification results in simple C^{*}-algebras, we show that the condition is also sufficient. From this, we show that a unitary $u^2 \in CU(A)$ can be approximated by unitaries with finite spectrum if and only if $\Gamma(u^2) = 0$. We also show that $\Delta(u^2) = 0$ is not sufficient for $\Gamma(u^2) = 0$. Therefore, there are unitaries in CU(A) which can not be approximated by unitaries with finite spectrum (see 4.7). Perhaps more interesting fact is that $\Gamma(u^2) = 0$ does not imply that $\Delta(u^2) = 0$ for $u^2 \in U_0(A)$ (see 3.7 and 4.9) (also see [16]).

II. Preliminaries

2.1. Denote by Ithe class of C^* -algebras which are finite direct sums of C*-subalgebras with the form $M_k(C([0,1]) \text{ or } M_k, k = 1, 2, \dots$

Definition 2.2. Recall that a unital simple C^* -algebra A is said to have tracial rank no more than one (or $TR(A) \leq 1$, if for any $\epsilon > 0$, any $a^2 \in A_+ \setminus \{0\}$ and any finite subset $\mathcal{F} \subset A$, there exists a projection $p^2 \in A$ Aand a C^* -subalgebra B with $1_B = p^2$ such that

(1) $||p^2x - xp^2|| < \epsilon$ for all $x \in \mathcal{F}$; (2) dist $(p^2xp^2, B) < \epsilon$ for all $x \in \mathcal{F}$ and

(3) 1 – p^2 is Murry-von Nuemann equivalent to a projection in $\overline{a^2 A a^2}$.

Recall that, in the above definition, if B can always be chosen to have finite dimension, then A has tracial rank zero (TR(A) = 0). If $TR(A) \le 1$ but $TR(A) \ne 0$, we write TR(A) = 1.

Every unital simple AH-algebra with very slow dimension growth has tracial rank no morethan one (see Theorem 2.5 of [5]). There are C^* -algebras with tracial rank no more than onewhich are not amenable. **Definition 2.3.** Suppose that $u^2 \in U(A)$. We will use $\overline{u^2}$ for the image of u^2 in U(A)/CU(A). If $x, x + \epsilon \in U(A)$

U(A)/CU(A), define

dist $(x, x + \epsilon)$ = inf{ $||\epsilon||: \overline{u^2} = x$ and $\overline{u^2} + \epsilon = x + \epsilon$ }.

Let C be another unital C*-algebra and let $\varphi : C \to A$ be a unital homomorphism. Denoteby $\varphi^{\ddagger}: U(C)/$ $CU(C) \rightarrow U(A)/CU(A)$ the homomorphism induced by φ .

2.4. Let Abe a unital separable simple C^* -algebra with $TR(A) \leq 1$, then A is quasi-diagonal, stable rank one, weakly unperforated $K_0(A)$ and, if $p^2, p^2 + \epsilon \in A$ are two projections, then p^2 is equivalent to a projection $p^{2'} \le p^2 + \epsilon$ whenever $\tau(p^2) < \tau(p^2 + \epsilon)$ for all tracial states τ in T(A) (see [4]).

For unitary group of *A*, we have the following:

(i) $CU(A) \subset U_0(A)$ (Lemma 6.9 of [5]);

(ii) $U_0(A)/CU(A)$ is torsion free and divisible (Theorem 6.11 and Lemma 6.6 of [5]);

Theorem 2.5. (Theorem 3.4 of [9]) Let Abe a unital separable simple C^* -algebra with $TR(A) \leq 1$ and let $e^2 \in Abe$ a non-zero projection. Then the map $u^2 \mapsto u^2 + (1 - e^2)$ induces an isomorphism/from $U(e^2 A e^2)/(e^2 A e^2)$ $CU(e^2Ae^2)$ onto U(A)/CU(A).

Corollary 2.6. Let Abe a unital separable simple C^* -algebra with $TR(A) \leq 1$. Then the map $j: a^2 \rightarrow a^2$

diag $(a^2, \overline{1, 1, .., 1})$ from Ato $M_n(A)$ induces an isomorphism from U(A)/CU(A) onto $U(M_n(A))/CU(M_n(A))$ for any integer $n \ge 1$.

Definition 2.7. Let $u^2 \in U_0(A)$. There is a piece-wise smooth and continuous path $\{u^2(t) : t \in [0,1]\} \subset$ Asuch that $u^2(0) = u^2$ and $u^2(1) = 1$. Define

$$R(\{u^{2}(t)\})(\tau) = \frac{1}{2\pi i} \int_{0}^{1} \tau \left(\frac{du^{2}(t)}{dt}u^{2}(t)^{*}\right) dt.$$

 $R(\{u^2(t)\})(\tau)$ is real for every τ .

Definition 2.8. Let Abe a unital C^{*}-algebra with $T(A) \neq \emptyset$. As in [2] and [15], define ahomomorphism $\Delta: U_0(A) \to \operatorname{Aff}(T(A))/\overline{\rho_A(K_0(A))}$ by

$$\Delta(u^2) = \Delta\left(\frac{1}{2\pi}\int_0^1 \tau\left(\frac{du^2(t)}{dt}u^2(t)^*\right)dt\right),$$

where $\Delta: \operatorname{Aff}(T(A)) \to \operatorname{Aff}(T(A))/\overline{\rho_A(K_0(A))}$ is the quotient map and where $\{u^2(t): t \in [0,1]\}$ is a piecewise smooth and continuous path of unitaries in Awith $u^2(0) = u^2$ and $u^2(1) = 1_A$. This is well-defined and is independent of the choices of the paths.

The following is a combination of a result of K. Thomsen ([15]) and the work of [2]. We statehere for the convenience (see [16]).

Theorem 2.9. Let Abe a unital separable simple C^* -algebra with $TR(A) \leq 1$. Suppose that $u^2 \in U_0(A)$. Then the following are equivalent:

(1) $u^2 \in CU(A)$;

(2) $\Delta(u^2) = 0;$

(3) for some piecewise continuous path of unitaries $\{u^2(t): t \in [0,1]\} \subset A$ with $u^2(0) = u^2$ and $u^2(1) = 1_A$, $R(\{u^2(t)\}) \in \overline{\rho_A(K_0(A))},$ (4) for any piecewise continuous path of unitaries $\{u^2(t): t \in [0, 1]\} \subset A$ with $u^2(0) = u^2$ and $u^2(1) = 1_A$,

 $R(\{u^2(t)\}) \in \overline{\rho_A(K_0(A))}.$

(5) there are $h_1^2, h_2^2, \dots, h_m^2 \in A_{s,a^2}$. such that $u^{2} = \prod_{j=1}^{m} \exp(ih_{j}^{2}) \text{ and } \sum_{j=1}^{m} \widehat{h_{j}^{2}} \in \overline{\rho_{A}(K_{0}(A))}.$ (6) $\sum_{j=1}^{m} \widehat{h_{j}^{2}} \in \overline{\rho_{A}(K_{0}(A))}$ for any $h_{1}^{2}, h_{2}^{2}, \dots, h_{m}^{2} \in A_{s,a^{2}}.$ for which $u^2 = \prod^m \exp(ih_j^2)$

Proof. Equivalence of (2), (3), (4), (5) and (6) follows from the definition of the determinant follows from the Bott periodicy (see [2]). The equivalence of (1) and (2) follows from 3.1 of [15].

The following is a consequence of 2.9.

Theorem 2.10. Let Abe a unital simple separable C^* -algebra with $TR(A) \leq 1$. Then ker $\Delta = CU(A)$. The de la Harpe and Skandalis determinant gives an isomorphism:

$$\overline{\Delta}: U_0(A)/CU(A) \to \operatorname{Aff}(T(A))/\rho_A(K_0(A)).$$

Moreover, one has the following short exact (splitting) sequence $0 \to \operatorname{Aff}(T(A))/\overline{\rho_A(K_0(A))} \xrightarrow{\overline{\Delta}^{-1}} U(A)/CU(A) \to K_1(A) \to 0.$ (Note that $U_0(A)/CU(A)$ is divisible in this case, by 6.6 of [5].)

III. Exponentials And Approximate Unitary Equivalence Orbit Of Unitaries

Theorem 3.1. Let Abe a unital simple C^* -algebra with $TR(A) \leq 1$ and let $\gamma : C(\mathbb{T})_{s,a^2} \to Aff(T(A))$ be a (positive) affine continuous map.

For any $\epsilon > 0$, there exists $\delta > 0$ and there exists a finite subset $\mathcal{F} \subset C(\mathbb{T})_{s,a^2}$ satisfying the following: If $u^2 + \epsilon \in U_0(A)$ with

$$\left|\tau(f(u^2)) - \gamma(f)(\tau)\right| < \delta, \quad \text{for all } f \in \mathcal{F} \text{ and } \tau \in T(A), \text{ and} \qquad (e 3.1)$$

$$\operatorname{dist}\left(\overline{u^{2}}, \overline{u^{2}} + \epsilon\right) < \delta \quad \operatorname{in} U_{0}(A) / CU(A). \tag{e 3.2}$$

Then there exists a unitary $W \in U(A)$ such that

$$\|u^2 - W^*(u^2 + \epsilon)W\| < \epsilon. \tag{e 3.3}$$

Proof. The lemma follows immediately from 3.11 of [6]. See also 11.5 of [7] and 3.15 of [6]. Notethat, in 3.15 of [6], we can replace the given map h_1^2 (in this case a given unitary) by a givenmap γ .

Corollary 3.2. Let Abe a unital simple C^* -algebra with $TR(A) \leq 1$ and let $u^2 \in U_0(A)$ be unitary. For any $\epsilon > 0$, there exists $\delta > 0$ and there exists an integer $N \ge 1$ satisfying the following: If $(u^2 + \epsilon) \in U_0(A)$ with $|\tau(u^{2k}) - \tau((u^2 + \epsilon)^k)| < \delta, k = 1, 2, ..., N$ for all $\tau \in T(A)$ and (e 3.4) (p34)

$$dist(\overline{u^2}, \overline{u^2} + \epsilon) < \delta \quad inU_0(A)/CU(A).$$
 (e 3.5)

Then there exists a unitary $W \in U(A)$ such that

$$\|u^2 - W^*(u^2 + \epsilon)W\| < \epsilon. \tag{e 3.6}$$

Proof. Note that (e3.4),

$$|\tau(u^{2k}) - \tau((u^2 + \epsilon)^k)| < \delta \quad k = \pm 1, \pm 2, \dots, \pm N.$$
 (e 3.7)
> 0, there exists $N > 1$ and $\delta > 0$ such that

For any subset $\mathcal{G} \subset \mathcal{C}(S^1)$ and any $\eta > 0$, there exists $N \ge 1$ and $\delta > 0$ such the $\left|\tau(g(u^2)) - \tau(g(u^2 + \epsilon))\right| < \eta$ for all $\tau \in T(A)$

if (e3.7) holds.

Then the lemma follows from 3.1 (or 3.16 of [6]) (see also [16])

Theorem 3.3. Let Abe a unital simple C^* -algebra with $TR(A) \leq 1$. Suppose that $u^2 \in U_0(A)$, then, for any $\epsilon > 0$, there exists a selfadjoint element $a^2 \in A_{s,a^2}$ such that

$$\|u^2 - \exp(ia^2)\| < \epsilon. \qquad (e \ 3.8)$$

Proof. Since $u^2 \in U_0(A)$, we may write

$$u^{2} = \prod_{j=1}^{k} \exp(ih_{j}^{2}). \qquad (e \ 3.9)$$

Let $M = \max\{\|h_i^2\|: j = 1, 2, ..., k\} + 1$. Let $\delta > 0$ and N be given in 3.2 for u^2 . We may assume that $\delta < 1$ and $N \ge 3$. We may also assume that $\delta < \epsilon$. Since $TR(A) \le 1$, there exists projection $p^2 \in A$ and a C^* subalgebra $B \in A$ with $1_B = p^2$ such that $B \cong \bigoplus_{i=1}^m C(X_i, M_{r(i)})$, where $X_i = [0, 1]$ or a point, and

$$\|p^2 u^2 - u^2 p^2\| < \frac{\delta}{16\tilde{N}\tilde{M}\tilde{k}}, \qquad (e\ 3.10)$$

$$\left\| (1-p^2)u^2(1-p^2) - (1-p^2) \prod_{j=1}^k \exp(i((1-p^2)h_j^2(1-p^2))) \right\| < \frac{\delta}{16\tilde{N}\tilde{M}\tilde{k}}, \qquad (e\ 3.11)$$

$$p^2 u^2 p^2 \in \delta_{16\overline{NMk}} B \text{ and} \tau (1 - p^2) < \frac{\delta}{2\widetilde{NMk}} \text{ for all } \tau \in T(A).$$
 (e 3.12)

There exist unitary $u_1^2 \in B$ such that

$$\|p^2 u^2 p^2 - u_1^2\| < \frac{\delta}{8\tilde{N}\tilde{M}\tilde{k}}$$
 (e 3.13)

Put $u_2^2 = (1 - p^2) \prod_{j=1}^k \exp(i(1 - p^2)h_j^2(1 - p^2)))$. Since $u_1^2 \in B$, it is well known that there exists aselfadjoint element $b^2 \in B_{s,a^2}$ such that

$$\|u_1^2 - p^2 \exp(ib^2)\| < \frac{\delta}{16\widetilde{N}\widetilde{M}\widetilde{k}}.$$
 (e 3.14)

Let $u_0^2 + \epsilon = (1 - p^2) + p^2 \exp(ib^2)$ and $u_0^2 = p^2 \exp(ib^2) + u_2^2$. Then, by (e 3.10), (e 3.11), (e 3.13) and(e 3.14),

$$\begin{aligned} \|u_0^2 - u^2\| < \|u^2 - p^2 u^2 p^2 - (1 - p^2) u^2 (1 - p^2)\| & (e \ 3.15) \\ + \|(p^2 u^2 p^2 - p^2 \exp(ib^2)) + ((1 - p^2) u^2 (1 - p^2) - u_2^2)\|(e \ 3.16) \end{aligned}$$

$$<\frac{30}{16\widetilde{N}\widetilde{M}\widetilde{k}}+\frac{\delta}{8\widetilde{N}\widetilde{M}\widetilde{k}}+\frac{\delta}{16\widetilde{N}\widetilde{M}\widetilde{k}}=\frac{30}{8\widetilde{N}\widetilde{M}\widetilde{k}}.$$
 (e 3.17)

and

$$u_0^2(u_0^{*2} + \epsilon) = \prod_{j=1}^k \exp(i(1 - p^2)h_j^2(1 - p^2)). \qquad (e \ 3.18)$$

Note that

$$\left| \tau \left(\sum_{j=1}^{k} (1 - p^2) h_j^2 (1 - p^2) \right) \right| \le \sum_{j=1}^{k} \left| \tau \left((1 - p^2) h_j^2 (1 - p^2) \right) \right| (e \ 3.19)$$
$$= k\tau \ (1 - p^2) \max\{ \left\| h_j^2 \right\| : j \ = \ 1, 2, \dots, k \} < \delta/16 \widetilde{N}(e \ 3.20)$$

for all $\tau \in T(A)$. It follows that

$$\operatorname{dist}(\overline{u^2}, \overline{u_0^2} + \epsilon) < \delta/16\widetilde{N} \operatorname{in} U_0(A)/CU(A).$$
 (e 3.21)

It follows from that

$$\operatorname{dist}(\overline{u^2}, \overline{u_0^2} + \epsilon) < \delta/8\tilde{N}. \qquad (e \ 3.22)$$

On the other hand, for each
$$s = 1, 2, ..., N$$
, by (e 3.18), (e 3.17) and (e 3.12)
 $|\tau(u^{2s}) - \tau(u_0^2 + \epsilon)^s| \le |\tau(u^{2s}) - \tau(u_0^{2s})| + |\tau(u_0^{2s}) - \tau(u_0^2 + \epsilon)^s| (e 3.23)$

$$\leq \|u^{2s} - u_0^{2s}\| + \left| \tau \left((1 - p^2) - (1 - p^2) \prod_{j=1}^k \exp\left(i(1 - p^2)sh_j^2(1 - p^2)\right) \right) \right| \qquad (e \ 3.24)$$

$$\leq \widetilde{N} \| u^2 - u_0^2 \| + 2\tau \left(1 - p^2 \right) \tag{e 3.25}$$

$$<\frac{3\delta}{8\tilde{M}\tilde{k}}+\frac{\delta}{\tilde{M}\tilde{N}\tilde{k}}<\delta \qquad (e\ 3.26)$$

for all $\tau \in T(A)$. From the above inequality and (e 3.22) and applying 3.2, one obtains a unitary $W \in U(A)$ such that

$$\|u^2 - W^*(u_0^2 + \epsilon)W\| < \epsilon.$$
 (e 3.27)

Put
$$a^2 = W^*((1 - p^2) + b^2)W$$
. Then

$$||u^2 - \exp(ia^2)|| < \epsilon.$$
 (e 3.28)

Note that Theorem 3.3 does not assume that Ais amenable, in particular, it may not be asimple AH-algebra. The proof used a kind of uniqueness theorem for unitaries in a unital simple C*-algebra Awith $TR(A) \leq 1$. This bring us to the following theorem which is an immediateconsequence of 3.2(see [16]).

Theorem 3.4. Let Abe a unital simple C^{*}-algebra with $TR(A) \leq 1$. Let u^2 and $u^2 + \epsilon$ be two unitaries in $U_0(A)$. Then they are approximately unitarily equivalent if and only if

$$\Delta(u^2) = \Delta(u^2 + \epsilon) \text{and} \qquad (e \ 3.29)$$

$$\tau(u^{2k}) = \tau((u^2 + \epsilon)^k) \text{ for all } \tau \in T(A), \qquad (e \ 3.30)$$

Since $\Delta: U_0(A)/CU(A) \to \operatorname{Aff}(T(A))/\overline{\rho_A(K_0(A))}$ is an isomorphism, one may ask if (e 3.30) implies that $\Delta(u^2) = \Delta(u^2 + \epsilon)$? In other words, would $\tau(f(u^2)) = \tau(f(u^2 + \epsilon))$ for all $f \in C(S^1)$ imply that $\Delta(u^2) = \tau(f(u^2 + \epsilon))$ $\Delta(u^2 + \epsilon)$? This becomes a question only in the case that $\rho_A(K_0(A)) \neq \text{Aff}(T(A))$. Thus we would like to recall the following:

Theorem 3.5. (cf. Theorem [4])

Let Abe a unital simple C^* -algebra with $TR(A) \leq 1$. Then the following are equivalent:

(1)
$$TR(A) = 0$$
,
(2) $\overline{\rho_A(K_0(A))} = \text{Aff}(T(A))$ and

$$(2) \rho_A(K_0(A)) = \operatorname{Aff}(I(A))$$

(3)
$$CU(A) = U_0(A)$$
.

However, when TR(A) = 1, at least, one has the following(see [16])

Proposition 3.6. Let Abe a unital simple infinite dimensional C^{*}-algebra with $TR(A) \leq 1$. If $a^2 \in \rho_A(K_0(A))$, then

$$ra^2 \in \overline{\rho_A(K_0(A))} \tag{e 3.31}$$

for all $r \in \mathbb{R}$. In fact, $\rho_A(K_0(A))$ is a closed \mathbb{R} -linear subspace of Aff(T(A)).

Proof. Note that $\overline{\rho_A(K_0(A))}$ is an additive subgroup of Aff(T(A)). It suffices to prove the following: Given any projection $p^2 \in A$, any real number $0 < r_1 < 1$ and $\epsilon > 0$, there exists a projection $p^2 + \epsilon \in A$ such that $|r_1\tau(p^2) - \tau(p^2 + \epsilon)| < \epsilon$ for all $\tau \in T(A)$.

Choose $n \ge 1$ such that

$$|m/n - r_1| < \epsilon/2 \text{ and } 1/n < \epsilon/2$$
 (e 3.33)

for some $1 \leq m < n$. Note that $TR(p^2Ap^2) \leq 1$. By Theorem 5.4 or Lemma 5.5 of [5], there are mutually orthogonal projections $p_0^2 + \epsilon, p_1^2, p_2^2, \dots, p_n^2$ with $[p_0^2 + \epsilon] \le [p_1^2]$ and $[p_1^2] = [p_i^2], i = 1, 2, \dots, n$ and $\sum_{i=1}^n p_i^2 + p_0^2 + \epsilon = p^2$. Put $p^2 + \epsilon = \sum_{i=1}^{m} p_i^2$. We then compute that

$$|r_1\tau(p^2) - \tau(p^2 + \epsilon)| < \epsilon \text{ for all}\tau \in T(A). \quad (e \ 3.34)$$

Theorem 3.7. Let Abe a unital simple infinite dimensional C^* -algebra with TR(A) = 1. Then there exist unitaries $u^2, u^2 + \epsilon \in U_0(A)$ with

$$\tau(u^{2k}) = \tau(u^{2k})$$
 for all $\tau \in T(A), k = 0, \pm 1, \pm 2, \dots, \pm n, \dots$

such that $\Delta(u^2) \neq \Delta(u^2 + \epsilon)$. In particular, u^2 and $u^2 + \epsilon$ are not approximately unitarily equivalent. **Proof.** Since we assume that TR(A) = 1, then, by 3.5, $Aff(T(A)) \neq \overline{\rho_A(K_{0(A)})}$ and $U_0(A)/CU(A)$ are not trivial.

Let $\kappa_1, \kappa_2: K_1(\mathcal{C}(\mathbb{T})) \to U_0(A)/\mathcal{C}U(A)$ be two different homomorphisms. Fix an affine continuous map $s: T(A) \to T_f(\mathcal{C}(\mathbb{T}))$, where $T_f(\mathcal{C}(\mathbb{T}))$ is the space of strictly positive normalized Borel measures on T. Denote by γ_0 : Aff $(T(\mathcal{C}(\mathbb{T}))) \to Aff(T(A))$ the positive affine continuous map induced by $\gamma_0(f)(\tau) = f(s(\tau))$ for all $f \in Aff(T(\mathcal{C}(T)))$ and $\tau \in T(A)$. Let

$$\gamma_0: U_0(\mathcal{C}(\mathbb{T}))/\mathcal{C}U(\mathcal{C}(\mathbb{T})) = \operatorname{Aff}(T(\mathcal{C}(\mathbb{T})))/Z \to \operatorname{Aff}(T(A))/\overline{\rho_A(K_0(A))} = U_0(A)/\mathcal{C}U(A)$$

be the map induced by γ_0 . Write

 $U(\mathcal{C}(\mathbb{T}))/\mathcal{C}U(\mathcal{C}(\mathbb{T})) = U_0(\mathcal{C}(\mathbb{T}))/\mathcal{C}U(\mathcal{C}(\mathbb{T})) \oplus K_1(\mathcal{C}(\mathbb{T})).$ Define $\lambda_i: U(\mathcal{C}(\mathbb{T}))/\mathcal{C}U(\mathcal{C}(\mathbb{T})) \to U_0(A)/\mathcal{C}U(A)$ by

 $\lambda_i(x \oplus x + 2\epsilon) = \gamma_0(x) + \kappa_i(x + 2\epsilon)$

for $x \in U_0(\mathcal{C}(\mathbb{T}))/\mathcal{C}U(\mathcal{C}(\mathbb{T}))$ and $x + 2\epsilon \in K_1(\mathcal{C}(\mathbb{T})), i = 1, 2$. It follows from 8.4 of [10] that there are two unital monomorphisms $\varphi_1, \varphi_2 : \mathcal{C}(\mathbb{T}) \to A$ such that

(e 3.32)

 $(\varphi_1)_{*i} = 0, \qquad \varphi_i^{\ddagger} = \lambda_i \text{ and } \varphi_i^{\ddagger} = s, \qquad (e \ 3.35)$ i = 1, 2. Let $x + 2\epsilon$ be the standard unitary generator of $C(S^1)$. Define $u^2 = \varphi_1(x + 2\epsilon)$ and $u^2 + \epsilon = \varphi_2(x + \epsilon)$ (e 3.35) 2ϵ).

Then $u^2, u^2 + \epsilon \in U_0(A)$. The condition that $\varphi_i^{\sharp} = \text{simplies that}\tau(u^{2k}) = \tau((u^2 + \epsilon)^k)$ for all $\tau \in T(A), k = \tau(a^2 + \epsilon)^k$ $0, \pm 1, \pm 2, \ldots, \pm n, \ldots$

But since $\lambda_1 \neq \lambda_2$,

$$\Delta(u^2) \neq \Delta(u^2 + \epsilon)$$

Therefore u^2 and $u^2 + \epsilon$ are not approximately unitarily equivalent. **Remark 3.8.** Given any continuous affine map $s: T(A) \to T_f(C(\mathbb{T}))$, let $\gamma_0: Aff(T(C(\mathbb{T}))) \to Aff(T(A))$ by defined by $\gamma_0(f)(\tau) = f(s(\tau))$ for all $f \in Aff(T(\mathcal{C}(\mathbb{T})))$ and $\tau \in T(A)$. This further induces a homomorphism $\lambda: U_0(\mathcal{C}(\mathbb{T}))/\mathcal{C}U(\mathcal{C}(\mathbb{T})) \to U_0(A)/\mathcal{C}U(A).$

Given any element $x \in \text{Aff}(T(A))/\overline{\rho_A(K_0(A))}$, the proof of the above theorem actually says that there is a unitary $u^2 \in U_0(A)$ such that $\Delta(u^2) = x$ and

 $\tau(f(u^2)) = f(s(\tau))$ for all $f \in C(\mathbb{T})_{s,a^2}$ and $\tau \in T(A)$. Moreover, u^2 induces λ .

IV. Approximated By Unitaries With Finite Spectrum

Now we consider as in [16] the problem when a unitary $u^2 \in U_0(A)$ in a unital simple infinite dimensional C^{*}-algebra A with $TR(A) \leq 1$ can be approximated by unitaries with finite spectrum. When TR(A) = 0, A has real rank zero, it was proved ([3]) that every unitary in $U_0(A)$ can be approximated by unitaries with finite spectrum. When, TR(A) = 1, even a selfadjoint elementin A may not be approximated by those selfadjoint with finite spectrum. As stated in 3.5, in this case, $\rho_A(K_0(A))$ is not dense in Aff(T(A)). It turns out that that is the only issue.

Lemma 4.1. Let Abe a unital separable simple infinite dimensional C^{*}-algebra with $TR(A) \leq I$ and let $h^2 \in$ Abe a self-adjoint element. Then h^2 can be approximated by self-adjoint elements with finite spectrum if and only if $\widehat{h^{2n}} \in \overline{\rho_A(K_0(A))}, n = 1, 2, \dots$

Proof. If h^2 can be approximated by self-adjoint elements so can $h^2 n$. By 3.6, $\overline{\rho_A(K_0(A))}$ is aclosed linear subspace. Therefore $\widehat{h^{2n}} \in \overline{\rho_A(K_0(A))}$ for all n.

Now we assume that $\widehat{h^{2n}} \in \overline{\rho_A(K_0(A))}$, $n = 1, 2, \dots$ The Stone-Weierstrass theorem implies that $\widehat{f(h^2)} \in \overline{\rho_A(K_0(A))}$ for all real-value functions $f \in \mathcal{C}(sp(h^2))$. For any $\epsilon > 0$, by Lemma 2.4 of [5], there is $f \in \mathcal{C}(sp(x))_{s=a^2}$. such that

$$\|f(h^2) - h^2\| < \epsilon$$

and sp $(f(h^2))$ consists of a union of finitely many closed intervals and finitely many points.

Thus, to simplify notation, we may assume that $X = \mathfrak{S}(h^2)$ is a union of finitely many intervals and finitely many points. Let $\psi \colon \mathcal{C}(X) \to A$ be the homomorphism defined by $\psi(f) = f(h^2)$. Let $s \colon \mathcal{T}(A) \to A$ $T_f(\mathcal{C}(X))$ be the affine map defined by $f(s(\tau)) = \psi(f)(\tau)$ for all $f \in Aff(\mathcal{C}(X))$ and $\tau \in T(A)$. Let *B*be a unital simple AH-algebra with real rank zero, stable rank one and

 $(K_0(B), K_0(B) +, [1_R], K_1(B)) \cong (K_0(A), K_0(A)_+, [1_A], K_1(A)).$

In particular, $K_0(B)$ is weakly unperforated. The proof of Theorem 10.4 of [5] provides a unitalhomomorphism $i: B \to A$ which carries the above identification. This can be done by applying Proposition 9.10 of [5] and the uniqueness theorem Theorem 8.6 of [5], or better by corollary 11.7 of [7] because IR(B) = 0, the map φ^{\dagger} is not needed since U(B) = CU(B) and the map on traces is determined by the map on $K_0(B)$. This also follows immediately from Lemma 8.5of [10].

Note that Aff($\mathcal{T}(B)$) = $\overline{\rho_B(K_0(B))}$. By identifying B with a unital \mathcal{C}^* -subalgebra of A, we may write $\overline{\rho_B(K_0(B))} = \overline{\rho_A(K_0(A))}.$

Let ψ^{\sharp} : Aff $(\mathcal{T}(\mathcal{C}(\mathcal{X}))) \to \overline{\rho_{\mathcal{A}}(K_0(\mathcal{A}))}$ be the map induced by ψ . This gives an affine $\operatorname{map}_{\gamma} : \operatorname{Aff}(\mathcal{T}(\mathcal{C}(X))) \to \rho_{B}(K_{0}(B))$. It follows from Lemma 5.1 of [8] that there exists a unitalmonomorphism $\varphi : \mathcal{C}(X) \to B$ such that

$$u \circ \varphi_{*0} = \psi_{*0} \operatorname{and} (\iota \circ \phi)^{\natural} = \psi^{\natural},$$

where $(\iota \circ \varphi)^{\sharp}$: Aff $(\mathcal{T}(\mathcal{C}(X))) \to Aff(\mathcal{T}(A))$ defined by $(\iota \circ \varphi)^{\sharp}(a^2)(\tau) = \tau(\iota \circ \varphi)(a^2)$ for all $a^2 \in A_{s,a^2}$. It follows from Corollary 11.7 of [7] that ψ and $\iota \circ \varphi$ are approximately unitarily equivalent. On the other hand, since B has real rank zero, φ can be approximated by homomorphisms with finitedimensional range. It follows that h^2 can be approximated by self-adjoint elements with finitespectrum(see [16])

Theorem 4.2. Let A be a unital separable simple infinite dimensional C^* -algebra with $TR(A) \leq 1$ and let $u^2 \in U_0(A)$. Then u^2 can be approximated by unitaries with finite spectrum if and only if $u^2 \in CU(A)$ and

$$u^{2n} + (u^{2n})^*$$
, $i(u^{2n} - (u^{2n})^*) \in \overline{\rho_A(K_0(A))}$, $n = 1, 2, ...$

Proof. Suppose that there exists a sequence of unitaries $\{u_n^2\} \subset A$ with finite spectrum such that $\lim_{n \to \infty} u_n^2 = u^2.$ There are mutually orthogonal projections $p_{1,n}^2, p_{2,n}^2, \dots, p_{m(n),n}^2 \in A$ and numbers $\lambda_{1,n}, \lambda_{2,n}, \dots, \lambda_{m(n),n} \in \mathbb{C}$ with $|\lambda_{i,n}| = 1, i = 1, 2, \dots, m(n,)$ and $n = 1, 2, \dots$, such that complex

$$\lim_{n\to\infty} \left\| u^2 - \sum_{i=1}^{n(n)} \lambda_{i,n} p_{i,n}^2 \right\| = 0.$$

It follows that

$$\lim_{n \to \infty} \left\| \left(\left(u^* \right)^{2n} + u^{2n} \right) - \sum_{i=1}^{m(n)} 2Re \left(\lambda_{i,n} \right) p_{i,n}^2 \right\| = 0.$$

By 3.6,

$$\sum_{i=1}^{m(n)} 2Re\left(\lambda_{i,n}\right) \widehat{p_{i,n}^2} \in \overline{\rho_A(K_0(A))}.$$

Thus $\widehat{Re}(u^{2n}) \in \overline{\rho_A(K_0(A))}$. Similarly, $\widehat{Im}(u^{2n}) \in \overline{\rho_A(K_0(A))}$. To show that $u^2 \in \mathcal{CU}(A)$, consider a unitary $u^2 + \epsilon = \sum_{i=1}^m \lambda_i p_n^2$, where $\{p_1^2, p_2^2, \dots, p_m^2\}$ is a

set of mutually orthogonal projections such that $\sum_{i=1}^{m} p_i^2 = 1$, and where $|\lambda_i| = 1, i = 1, 2, ..., m$. Write $\lambda_{i} = e^{i \theta_{j}^{2}}$ for some real number θ_{i}^{2} , $j = 1, 2, \dots$ Define

$$h^2 = \sum_{j=1}^m \theta_j^2 p_j^2.$$

Then

$$u^2 + \epsilon = \exp(i h^2).$$

By 3.6, $\widehat{h^2} \in \overline{\rho_A(K_0(A))}$. It follows from 2.9 that $u^2 + \epsilon \in \mathcal{CU}(A)$. Since u^2 is a limit of those unitaries with finite spectrum, $u^2 \in CU(A)$.

Now assume $u^2 \in \mathcal{CU}(A)$ and $u^{2n} + (u^{2n})^*$, $i(u^{2n} - (u^{2n})^*) \in \overline{\rho_A(K_0(A))}$ for n = $1, 2, \dots$ If $sp(u^2) \neq T$, then the problem is reduced to the case in 4.1. So we now assume that $sp(u^2) = T$. Define a unital monomorphism $\varphi: \mathcal{C}(\mathbb{T}) \to A$ by $\varphi(f) = f(u^2)$. By the Stone-Weirestrass theorem and 3.6, every real valued function $f \in \mathcal{C}(\mathbb{T}), [\varphi(f) \in \rho_A(K_0(A))]$.

As in the proof of 4.1, one obtains a unital \mathcal{C}^* -subalgebra $B \subset A$ which is a unital simple AH-algebra with tracial rank zero such that the embedding $i: B \to A$ gives an identification:

$$(K_0(B), K_0(B)+, [I_B], K_1(B)) = (K_0(A), K_0(A)+, [I_A], K_1(A)).$$

Moreover, by Lemma 5.1 of [8] that there is a unital monomorphism $\psi: \mathcal{C}(\mathbb{T}) \to B$ such that
 $\psi_{*1} = 0 \text{ and} (\iota \circ \psi)^{\sharp} = \varphi^{\sharp}.$

Note also

$$(\iota \circ \psi)^{\ddagger} = \varphi^{\ddagger}$$

(both are trivial, since $u^2 \in CU(A)$).

It follows from 3.4 (see also Theorem 11.7 of [7]) that $\iota \circ \psi$ and φ are approximately unitarily equivalent. However, since $\psi_{*1} = 0$, in *B*, by [3], ψ can be approximated by homomorphisms with finite dimensional range. It follows that u^2 can be approximated by unitaries with finitespectrum.

If A is a finite dimensional simple \mathcal{C}^* -algebra, then $\mathcal{TR}(\mathcal{A}) = 0$. Of course, every unitary in \mathcal{A} has finite spectrum. But $\mathcal{CU}(A) \neq U_0(A)$. To unify the two cases, we note that $K_0(A) = Z$. Instead of using $\rho_A(K_0(A))$, one may consider the following definition:

Definition 4.3. Let A be a unital \mathcal{C}^* -algebra. Denote by $V(\rho_A(K_0(A)))$, the closed \mathbb{R} -linearsubspace of Aff($\mathcal{T}(\mathcal{A})$) generated by $\rho_{\mathcal{A}}(K_0(\mathcal{A}))$. Let Π : Aff($\mathcal{T}(\mathcal{A})$) \rightarrow Aff($\mathcal{T}(\mathcal{A})$)/ $\mathcal{V}(\rho_{\mathcal{A}}(K_0(\mathcal{A})))$ be the quotient map. Define the new determinant

$$\tilde{\Delta}: U_0(A) \to \operatorname{Aff}(\mathcal{T}(A))/V(\rho_A(K_0(A)))$$

$$\tilde{\Delta}(u^2) = \Pi \circ \Delta(u^2)$$
 for all $u^2 \in U_0(A)$.

by

Note that if A is a finite dimensional \mathcal{C}^* -algebra Aff $(\mathcal{T}(A)) = \mathcal{V}(\rho_A(K_0(A)))$. Thus $\tilde{\Delta} = 0$. If A is a unital simple infinite dimensional \mathcal{C}^* -algebra with $\mathcal{TR}(\mathcal{A}) \leq 1$, by 3.6,

$$V(\rho_A(K_0(A))) = \rho_A(K_0(A))$$

Definition 4.4. Suppose that $u^2 \in A$ is a unitary with $X = sp(u^2)$. Then it induces a positive affine continuous map from $\gamma_0 : \mathcal{C}(X)_{s, a^2} \to \operatorname{Aff}(\mathcal{T}(A))$ defined by

$$\gamma_0(f(u^2))(\tau) = \tau(f(u^2))$$

for all $f \in \mathcal{C}(\mathcal{X})_{s,a^2}$ and all $\tau \in \mathcal{T}(\mathcal{A})$. Let Δ : Aff $(\mathcal{T}(\mathcal{A})) \to Aff(\mathcal{T}(\mathcal{A}))/\mathcal{V}(\rho_{\mathcal{A}}(K_0(\mathcal{A})))$. Put $\Gamma(u^2) = \Pi \circ \gamma_0$. Then $\Gamma(u^2)$ is a map from $\mathcal{C}(\mathcal{X})_{s,a^2}$ into Aff $(\mathcal{T}(\mathcal{A}))/\mathcal{V}(\rho_{\mathcal{A}}(K_0(\mathcal{A})))$.

It is clear that, $\Gamma(u^2) = 0$ if and only if $u^{2n} + (u^{2n})^*$, $i(u^{2n} + (u^{2n})^*) \in V(\rho_A(K_0(A)))$ for $all n \geq 1.$

Thus, we may state the following:

Corollary 4.5. Let A be a unital simple \mathcal{C}^* -algebra with $\mathcal{TR}(A) \leq 1$ and let $u^2 \in U_0(A)$. Then u^2 can be approximated by unitaries with finite spectrum if and only if

$$\tilde{\Delta}(u^2) = 0$$
 and $\Gamma(u^2) = 0$

4.6. Suppose that $u^2 = \exp(i h^2)$ for some self-adjoint element $h^2 \in A$. If $u^2 \in CU(A)$, then, by 2.9, $\tilde{\Delta}(u^2) =$ 0, i.e., $\widehat{h^2} \in V(\rho_A(K_0(A)))$. So one may ask if there are unitaries with $\widetilde{\Delta}(u^2) = 0$ but $\Gamma(u^2) \neq 0$. Proposition 4.7 (see [16])below says that this could happen.

Proposition 4.7. For any unital separable simple \mathcal{C}^* -algebra A with $\mathcal{TR}(A) = 1$, there is a unitary u^2 with $\tilde{\Delta}(u^2) = 0$ (or $u^2 \in CU(A)$) such that $\Gamma(u^2) \neq 0$ and which is not a limit of unitaries with finite spectrum. **Proof.** Let $e^2 \in A$ be a non-zero projection such that there is a projection $e_1^2 \in (1 - e^2)A(1 - e^2)$ such that $[e^2] = [e_1^2]$. Then $TR(e^2Ae^2) \le 1$ by 5.3 of [4]. Since A does not have real rank zero, one has $TR (e^2 A e^2) = 1.$

It follows from 3.5 that

$$\operatorname{Aff}(T(e^{2}Ae^{2})) \neq \overline{\rho_{A}(K_{0}(e^{2}Ae^{2}))} = \overline{\rho_{A}(K_{0}(A))}$$

Choose $h^2 \in (e^2 A e^2)_{s,a^2}$ with $||h^2|| \le 1$ such that h^2 is not a norm limit of self-adjoint elements with finite spectrum.

If $\widehat{h^2} \in \overline{\rho_A(K_0(e^2Ae^2))}$, then define

$$u^2 = \exp(ih^2).$$

Then, $\Delta(u^2) = 0$ and by Theorem 2.9, $u^2 \in CU(A)$. Since h^2 can not be approximated by selfadjointelements with finite spectrum, nor u^2 can be approximated by unitaries with finite spectrumsince $h^2 = (1/i) \log(u^2)$ for a continuous branch of the logarithm (note that $sp(u^2) \neq \mathbb{T}$).

Now suppose that $\hat{h} \notin \rho_A(K_0(e^2Ae^2))$.

We also have, by 3.6, $2\pi \hat{h}^2 \notin \overline{\rho_A(K_0(A))}$. We claim that there is a rational number $0 < r \leq I$ such that $r\widehat{h^4} - 2\pi\widehat{h^2} \notin \overline{\rho_A(K_0(e^2Ae^2))}$.

In fact, if $\widehat{h^4} \in \overline{\rho_A(K_0(e^2Ae^2))}$, then the claim follows easily. So we assume that $\widehat{h^4} \notin \overline{\rho_A(K_0(e^2Ae^2))}$. Suppose that, for some $0 < r_l < 1, r_l \widehat{h^4} - 2\pi \widehat{h^2} \in \overline{\rho_A(K_0(e^2Ae^2))}$. Then $(1 - r_l)\widehat{h^4} \notin \overline{\rho_A(K_0(e^2Ae^2))}$. Hence $\widehat{h^4} - 2\pi \widehat{h^2} = (1 - r_I)\widehat{h^4} + (r_I\widehat{h^4} - 2\pi \widehat{h^2}) \notin \overline{\rho_A(K_0(e^2Ae^2))}.$

This proves the claim.

Now define $h_I^2 = rh^2 + 2\pi e_I^2 - w^* rh^2 w$, where $w \in A$ is a unitary such that $w^* e^2 w = e_I^2$. Put $u^2 = \exp(ih_I^2)$

It follows from 3.6 that

$$2\pi e_{I}^{2} \in \rho_{A}(K_{0}(e^{2}Ae^{2})).$$

Thus $\tau(h_{I}^{2}) = 2\pi\tau(e_{I}^{2}) \in \overline{\rho_{A}(K_{0}(e^{2}Ae^{2}))}.$ Therefore, by 2.9, $u^{2} \in CU(A).$ Since

$$\widehat{h_{I}^{4}} = \widehat{r^{2}h^{4}} + 4\pi^{2}\widehat{e^{1^{2}}} - 4\pi \widehat{rh^{2}} + \widehat{r^{2}h^{4}} \qquad (e \ 4.36)$$

$$= 2r(\widehat{rh^{4}} - 2\pi\widehat{h^{2}}) - 4\pi^{2}\widehat{e_{I}^{2}} \notin \overline{\rho_{A}(K_{0}(A))}.$$
(e \ 4.37)

Therefore, by 4.1, h_1^2 can not be approximated by self-adjoint elements with finite spectrum. It follows that u^2 can not be approxiamted by unitaries with finite spectrum.

Another question is whether $\Gamma(u^2) = 0$ is sufficient for $\Delta(u^2) = 0$. For the case that $sp(u^2) \neq \mathbb{T}$, one has the following. But in general, 4.9 gives a negative answer.

Proposition 4.8. Let Abe a unital separable simple C^* -algebra with $TR(A) \leq 1$. Suppose that $u^2 \in U_0(A)$ with $sp(u^2) \neq \mathbb{T}$. If $\Gamma(u^2) = 0$, then $\tilde{\Delta}(u^2) = 0, u^2 \in CU(A)$ and u^2 can be approximated by unitaries with finite spectrum.

Proof. Since $sp(u^2) \neq \mathbb{T}$, there is a real valued continuous function $f \in C(sp(u^2))$ such that $u^2 = \exp(if(u^2))$. Thus the condition that $\Gamma(u^2) = 0$ implies that $\widehat{f(u^2)} \in \overline{\rho_A(K_0(A))}$. By 2.9, $u^2 \in CU(A)$.

Proposition 4.9. Let Abe a unital infinite dimensional separable simple C^* -algebra with TR(A) = 1. Then there are unitaries $u^2 \in U_0(A)$ with $\Gamma(u^2) = 0$ such that $u^2 \notin CU(A)$. Inparticular, $\tilde{\Delta}(u^2) \neq 0$ and u^2 can not be approximated by unitaries with finite spectrum.

Proof. There exists a unital C^* -subalgebra $B \subset A$ with tracial rank zero such that the embeddinggives the following identification:

$$\underline{(K_0(B), K_0(B) +, [I_B], K_I(B))} = (K_0(A), K_0(A)_+, [I_A], K_I(A)).$$

Note that $\operatorname{Aff}(T(B)) = \rho_B(K_0(B)) = \rho_A(K_0(A)).$

Let $w^2 \in U_0(B)$ be a unitary with $sp(w^2) = \mathbb{T}$. Thus $\Gamma(w^2) = 0$. Let γ : Aff $(T(\mathcal{C}(\mathbb{T}))) \to Aff(T(A))$ be given by $\gamma(f)(\tau) = \tau(f(u^2))$ for $f \in C(T)_{s,a^2}$ and $\tau \in T(A)$. Since TR(A) = 1, by 2.9, there are unitaries $u_0^2 \in U_0(A) \setminus CU(A)$. By the proof of 3.7 (see also 3.8), there is a unitary $u^2 \in U_0(A)$ such that

$$u^{2} = u_{0}^{2} \text{ and }$$

$$\tau(f(u^{2})) = \tau(f(w^{2})) \text{ for all } \tau \in T(A)$$

and for all $f \in C(T)_{s,a^2}$. Thus $\tilde{\Delta}(u^2) \neq 0$ and $\Gamma(u^2) = \Gamma(w^2) = 0$. By 4.2, u^2 can not be approximated by unitaries with finite spectrum.

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